



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

E.L.O.
3/16/03

#13

not in compliance with 1.97c
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In re Application of:)
)
CHANG, et al.)
)
Serial No. **09/814,403**)
)
Filed: **March 22, 2001**)
)
For: **WATER-DISPERSIBLE, CATIONIC**)
POLYMERS, A METHOD OF MAKING)
SAME AND ITEMS USING SAME)

Art Unit: 1713

Examiner: Donald R. Wilson

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

The citation of information on the attached Form PTO/SB/08A, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.97 and 1.98. A copy of the listed items is enclosed.

Certificate

It is hereby certified, under Rule 97(e)(1), that each item of information contained in the accompanying Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC, 20231, on February 13, 2003.

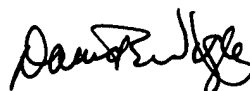
David E. Wigley

David E. Wigley Reg. No. 52,362

not more than three months prior to the filing of the statement. A copy of the International Search Report is also attached.

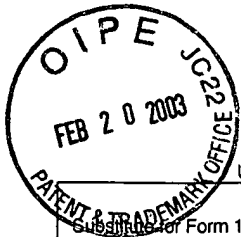
The citation of this information does not constitute an admission that any of the materials are available as a reference or of priority, or a waiver of any right applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,



By: David E. Wigley
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Our Docket: 44040-256047 (11302-1190)



#13

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 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Compleat if Kn wn	
		Application Number	09/814,403
		Filing Date	March 22, 2001
		First Named Inv ntor	Chang et al.
		Group Art Unit	1713
		Examiner Name	Donald R. Wilson
Sheet 1	of 1	Attorney Docket Number	11302-1190 (44040-256047)

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	4,970,260		Lundberg, R. D.	11-13-1990	

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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	2		WO 00/39378		Kimberly-Clark Worldwide, Inc.	07-06-2000		
	3		WO 98/41577		Kimberly-Clark Worldwide, Inc.	09-24-1998		
	4		WO 98/52698		The Dow Chemical Company	11-26-1998		
	5		WO 98/29501		Kimberly-Clark Worldwide, Inc.	07-09-1998		
	6		JP 10 204792		Seiki Kagaku Kogyo KK	08-04-1998		

OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²

Examiner Signature		Date Considered	
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

** Abstract only submitted, which should be listed under other information along with source.*